Deep neural network context embeddings for model selection in rich-context
HMM synthesis

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Abstract

This paper introduces a novel form of parametric synthesis that uses context embeddings produced by the bottleneck layer of a deep neural network to guide the selection of models in a rich-context HMM-based synthesiser. Rich-context synthesis – in which Gaussian distributions estimated from single linguistic contexts seen in the training data are used for synthesis, rather than more conventional decision tree-tied models – was originally proposed to address over-smoothing due to averaging across contexts. Our previous investigations have confirmed experimentally that averaging across different contexts is indeed one of the largest factors contributing to the limited quality of statistical parametric speech synthesis. However, a possible weakness of the rich context approach as previously formulated is that a conventional tied model is still used to guide selection of Gaussians at synthesis time. Our proposed approach replaces this with context embeddings derived from a neural network.

Index Terms: speech synthesis, hidden Markov model, deep neural networks, rich context, embedding

1. Introduction

HMM speech synthesis systems offer a flexible and adaptable way to synthesise speech. However the naturalness of these systems is consistently rated below natural speech and unit selection systems as observed in the evaluation results from numerous Blizzard Challenges over many years [1, 2, 3, 4]. Many explanations have been given for the causes of this [5, 6, 7, 8] however few formal investigations have been performed.

In previous work, we did formally investigate several hypotheses, including the effects of: over-smoothing of the spectral envelope as a result of averaging over multiple speech samples from differing contexts [9], temporally over-smoothed parameter trajectories as a result of maximum-likelihood parameter generation (MLPG) [10, 11, 9], parameter generation with poor global variance [10, 11, 9], vocoding[12, 11, 9] and independent modelling of parameter streams[12, 11], among others.

The most striking finding of these investigations was that temporal over-smoothing does not have as strong an effect on speech quality as was previously believed, but rather that the gap between standard HMM speech synthesis systems and vocoded speech might be significantly closed by avoiding the averaging of speech samples across differing linguistic contexts. Motivated by this finding, we now propose a novel form of statistical parametric speech synthesis that avoids this harmful across-contexts averaging.

2. Motivation

Our previous work has focused on the perceptual effects introduced by modelling in statistical parametric speech synthesis [10, 12, 11, 9]. From these investigations, the effect of averaging across differing linguistic contexts (as is done in all decision tree-clustered HMM synthesis systems) was identified as introducing a very substantial drop in quality. Specifically, in [9] we reported a large perceptual degradation when moving from an oracle condition where mean parameter values were calculated by only averaging within the same context compared to a standard decision tree-clustered tied-parameter HMM system (built as per the HTS demo recipe).

This oracle system calculated the Gaussian mean values (for static, delta and delta-delta acoustic features) from the acoustic features (i.e., vocoder parameters) of a recording of the test sentence. The synthetic speech was then created by using MLPG on this sequence of Gaussian means, with variance values coming from the conventional HMMs. Of course, this oracle system is of no use for actual text-to-speech because it is impossible to have examples in the training data that exactly match all required contexts for every test sentence. However, it motivates the system presented here that – like existing so-called “rich-context” systems – avoids averaging across linguistic contexts to train the Gaussian means.

3. Prior work

There are two notable examples of systems that also aim to remove the effects of across-context averaging. The first is simply unit selection synthesis, where individual tokens are used, without averaging. Unit selection synthesis also avoid vocoding, another limitation on the quality of parametric speech synthesis [12, 11, 9]. The other example is rich-context statistical parametric speech synthesis systems [13, 14, 15, 16].

The term ‘rich-context’ refers to models which are trained only on samples where the context matches exactly and therefore avoids averaging across differing contexts. The primary example is [13], in which Gaussian mean values are calculated within each unique context found in the training data, with variance values being tied in the usual way. This system would appear to be very close to our previously investigated oracle within-context-averaging system [9]. We now examine how this system selects a suitable rich-context model to use at synthesis time, given that exact matches to the required contexts within a test sentence are extremely unlikely to be available.

In practice, such a system is easy to derive from a conventional tied system, simply by untying all parameters, then performing further training in which only the means are updated.
4. Conventional rich context system

The system introduced in [13] uses the distribution (i.e., Gaussian) selected by the standard tied decision tree as a reference. It then finds the closest untied rich-context model (from a pre-selected subset of all possible models) to this reference, using equation 2 to compute divergence between the reference distribution and each of the rich context models. This equation, as described in [17], is an adapted version of Kullback-Leibler divergence (KLD) for calculating divergence between multi-space probability distribution HMMs (MSD-HMMs) and can therefore be applied to both spectrum (S) and pitch (f0) parameters independently.

\[
D_{KL}^{S+I}(p||q) = D_{KL}(p||q) + D_{KL}^{I}(p||q),
\]

where

\[
D_{KL}(p||q) \leq (w_0^p - w_0^q) \log \frac{w_0^p}{w_0^q} + (w_1^p - w_1^q) \log \frac{w_1^p}{w_1^q}
\]

\[
= \frac{1}{2} \left( (w_0^p \Sigma_p^{-1} + w_1^p \Sigma_q^{-1}) (\mu_p - \mu_q) (\mu_p - \mu_q)^\top + w_1^p (\Sigma_q^{-1} + I) - I \right)
\]

\[
+ \frac{1}{2} (w_0^p - w_0^q) \log |\Sigma_q^{I-p}|.
\]

4.1. Implementation issues

One issue encountered when using this formula with rich context models, but unreported in [13], is that rich context models are generally either completely voiced or unvoiced, making either \(w_0\) or \(w_1\) equal to zero. In our replication of Yan2009, where this occurs, a small number (0.001) was added to or subtracted from \(w_0\) and \(w_1\) to ensure a division by zero never takes place. The problem of zero divisions also appears in the spectrum model when \(w_0\) \(\equiv 0\) and \(w_1\) \(\equiv 1\); in this case

\[
(w_0^p - w_0^q) \log \frac{w_0^p}{w_0^q}
\]

was set to 0.

Also the adaptation to enable the KLD algorithm to be used for MSD-HMMs means that the divergence measure is no longer symmetric; so \(D_{KL}(p||q)\) and \(D_{KL}(q||p)\) were averaged together to give the final divergence score. This was not mentioned in [13], so it can only be assumed that this was how the original implementation was done.

4.2. Critique

The reference distribution used in [13] is a standard tied model. That is, the system chooses the rich context model that is most similar to the model that would be used in a conventional system. This is counter-intuitive. As we know from [9], this tied model is known to be of poor quality as a result of averaging across different contexts and therefore would seem to be a poor reference for rich-context model selection. The whole point of using rich context models is to get away from the tied model, not to find a model that is as close as possible to it.

As mentioned above, the system in [13] selected only from a subset of all possible rich-context models: only contexts matching the triphone of the target context, and if no matches are available this is expanded to biphone match. The need for pre-selection was given as leading to a ‘reasonable size of the search space’ [13].

5. Proposed bottleneck-driven system

Our proposed system is inspired by that in [13], however does not use the tied model as a reference for rich context model selection. Instead, it performs selection using an acoustically-supervised embedding of the linguistic context, which we derive from the bottleneck layer of a Deep Neural Network (DNN) speech synthesis model [18].

The activations at the bottleneck layer of this network comprise a very compact (e.g., 32-dimensional) feature vector that has been learnt over the training data; such a feature vector is often termed an ‘embedding’ [19].

Each unique input to the DNN (i.e., each unique linguistic context) leads to a particular bottleneck feature vector. That is, we can derive a compact vector-space representation of any linguistic context, including those not seen in the training data. We use distance in this vector space as the way to select rich-context models at synthesis time. The DNN-derived embedding is essentially a compression of the linguistic features, but importantly one that has been learned in conjunction with predicting the acoustics. So, for example, acoustically-irrelevant linguistic features will be ignored, and other features will be ‘de-noised’ and de-correlated.

Using the speech parameter space to calculate perceptual distance – which is effectively what the system in [13] does – has been previously raised by Taylor, who is ‘uneasy about the use of cepstral space to represent the perceptual space’ [20]. In our proposed system, an embedding of the linguistic space, as learnt by a DNN, is used instead.

Our proposed bottleneck-guided rich-context system has the added benefit that we are no longer constrained by needing to use speech parameters at the output layer of the DNN, since it is to be used only for deriving the bottleneck features. For example, we could use perceptually-motivated features instead of vocoder parameters; this is future work.

Various measures could be used, at synthesis time, to find the closest rich-context model (in bottleneck feature space) for an unseen context, this can be done in a number of ways. Here we present two possibilities: Euclidean distance and KLD. First, we give more details of how the bottleneck features are derived.

5.1. Bottleneck features

To generate bottleneck features, we used a feed-forward neural network with six hidden layers. Each layer had 1024 hidden units except that the second hidden layer was set as a bottleneck layer which had only 32 hidden units, as in our preliminary experiments we found that using the second layer as the bottleneck layer achieved the best performance for DNN-based speech synthesis in terms of acoustic feature distortions. More details about the input and output features and implementations of DNN can be found in [18]. The input to the DNN
includes HMM state-position (i.e., sub-phoneme) and frame-
within-current-state counter-based features. Bottleneck features
were pre-computed for all frames in the training data using a
forward pass, and the mean and variance of the features was
computed per rich-context HMM state; these distributions were
then stored with the rich-context HMM.

5.2. Euclidean distance selection
The Euclidean distance between the bottleneck features com-
punted for a given state in the test sentence, and the stored fea-
tures for all the rich-context states is:

\[ D(b, g) = \sum_{n=1}^{N} |\| b_n - \mu_g |\|_2, \]  

where \( b \) is the frame-level sequence of bottleneck features for
the current state in the test sentence, \( g \) is the Gaussian distri-
bution (with mean \( \mu_g \)) of bottleneck features for a candidate
rich-context model and \( N \) is the duration (in frames) of the cur-
rent test sentence HMM state. The distance for each state in the
current phoneme is summed and the phone-sized rich-context
model with the smallest Euclidean distance is selected (i.e., all
5 states are taken from the same rich-context model).

5.3. Kullback Leibler divergence selection
The KLD [21] between distribution \( f \) of the bottleneck features
computed for the frames corresponding to a given state in the
test sentence, and distribution \( g \), is calculated as:

\[ D_{KL}(f \| g) = \frac{1}{2}\log \left( \frac{\Sigma_g}{\Sigma_f} \right) + \text{Tr} \left( \Sigma_f^{-1} \Sigma_g - I_f - \log \Sigma_f \right) \]

\[ + (\mu_f - \mu_g) \Sigma_f^{-1} (\mu_f - \mu_g), \]  

where \( \mu \) and \( \Sigma \) are mean and covariance and \( d \) is the dimension-
ality (32 in this case) of the bottleneck feature vector. As with
the Euclidean distance, the KLD for each sub-phonetic state is
summed over the phoneme and the closest model chosen. Vari-
ance values were floored to 1% of the global variance. A sym-
metric version of KLD was used in practice: the average of
\( D_{KL}(f \| g) \) and \( D_{KL}(g \| f) \).

6. Experiments

6.1. Implementation
We built a variety of system configurations, shown in Table 1,
and compared them in a listening test. We created a best-effort
replication of the system described in [13], one with tri-phone
(backing off to bi-phone where necessary) pre-selection as per
the original system and another with more relaxed bi-phone
(backing off to mono-phone where necessary) pre-selection.
The latter system has a wider set of rich-context models to se-
lect from, per test sentence phoneme, and so should be able to
choose a model that is closer to the tied model reference. For
that reason, we hypothesise that this will actually sound worse
than the more constrained system (even though the reasons for
pre-selection given in [13] were only in regard of computational
cost). The average pre-selection candidate set size over a set of
test sentences is shown in Table 2.

No pre-selection constraints were used in any of the pro-
posed systems (E, KL, ETS, KLTS), to fully test the ability of
the DNN to "embed" the required linguistic information into
the bottleneck features.

For comparison, a rich-context system guided by a decision
tree (rather than the method in [13] or our proposed method)
was created (system F) by growing the decision tree with the
MDL factor set to 0; this tree has one leaf per unique context
seen in the training data. Variances were borrowed from the
standard tied system (H).

2400 sentences from a male speaker of British English were
used for training all systems [22]. 60 unseen Harvard sentences
were used for testing. STRAIGHT [23] was used for speech
analysis and the postfilter scaling factor was fixed to 1.2 for
all systems (where applied). For all systems, natural durations
derived by forced alignment, were used. Before presentation
to listeners, all utterances were volume normalised [24]. The
decision of whether to use a postfilter or GV was made case-
by-case for each system, choosing whichever sounded best in
informal listening.

6.2. Experimental setup
The listening test was conducted using the MUSHRA method-
ology [25], with the same set up as [12]. In MUSHRA, the same
sentence is presented to the listener under all conditions, on
a single screen. Each condition is then scored between 0 (com-
pletely unnatural) to 100 (completely natural). This paradigm
was originally developed to evaluate audio codecs and there-
fore allows small differences between conditions to be heard by
the listener and for them to use knowledge of the full range of
conditions when rating each one. Natural speech is provided as
a hidden (i.e., listeners are not told which condition this is) ref-
ence and acts as an upper anchor. Listeners are instructed to
rate natural speech, which appears in a random position among
the conditions, at 100. Ordinarily there is also a lower anchor
when this paradigm is used for audio codecs; however a lower
anchor is to difficult to define for synthetic speech so was not
used here (as was also the case in [12]).

Stimuli played to listeners along with listener responses can
be found at [26].

<table>
<thead>
<tr>
<th></th>
<th>Description</th>
<th>Postfilter</th>
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<tbody>
<tr>
<td>N</td>
<td>Natural speech</td>
<td>n/a</td>
</tr>
<tr>
<td>E</td>
<td>Stacked bottleneck DNN system</td>
<td>PF</td>
</tr>
<tr>
<td>H</td>
<td>Standard tied HMM speech (HTS demo)</td>
<td>GV</td>
</tr>
<tr>
<td>P</td>
<td>HMM speech w/ fully tied tree (MDL, = 0)</td>
<td>PF</td>
</tr>
<tr>
<td>E</td>
<td>Proposed system w/ Euclidean distance (section 5.2)</td>
<td>PF</td>
</tr>
<tr>
<td>KL</td>
<td>Proposed system w/ KLD (section 5.3)</td>
<td>PF</td>
</tr>
<tr>
<td>ETS</td>
<td>Proposed system w/ Euclidean distance (section 5.2)</td>
<td>PF</td>
</tr>
<tr>
<td>KLTS</td>
<td>Proposed system w/ KLD (section 5.3)</td>
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</tr>
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<table>
<thead>
<tr>
<th></th>
<th>Overall average</th>
<th>CT</th>
<th>CB</th>
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<tbody>
<tr>
<td>tri-phone search</td>
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<td>196</td>
<td></td>
</tr>
<tr>
<td>bi-phone search</td>
<td>54</td>
<td>193</td>
<td></td>
</tr>
<tr>
<td>centre phone search</td>
<td>98.2</td>
<td>98.2</td>
<td></td>
</tr>
</tbody>
</table>
7. Results

Results from the MUSHRA test can be seen in Figures 1 and 2 showing the absolute values awarded to the conditions and rank order respectively. The dashed green lines added to the box plots show the mean values. All tests for significant differences between conditions applied Holm-Bonferroni correction due to the large number of condition pairs to compare. All conditions are significantly different from all others in absolute rating, except between: H and F, KL and E, KL and ETS, KL and KLTS, ETS and KLTS. Significant differences are in agreement using a t-test or Wilcoxon signed-rank test at a p value of 0.05. There is a disagreement in statistical significance between conditions F and E: the Wilcoxon signed-rank test finds the difference in judgements to be statistically significant whereas the t-test doesn’t. All conditions are significantly different in rank order except between: H and F, KL and E, and KLTS. These significant differences are in agreement using the Mann-Whitney U test and the Wilcoxon signed-rank test at a p value of 0.05. There is a disagreement in statistical significance between conditions H and E: the Mann-Whitney U test finds the difference in judgements to be statistically significant whereas the Wilcoxon signed-rank test doesn’t.

One point of surprise is the ratings given to the CT condition. In informal listening, we found this to be of higher quality than the H and F conditions; it is possible that our expert listener judgement is out of line with the paid listeners’ non-expert opinions on naturalness [27]. We suspect that the CT system removes much of the buzzy quality present in system H, but in doing so has made other imperfections audible which are otherwise masked by this buzziness, therefore reducing the perceptual scores from naïve listeners.

No significant improvement in absolute score is observed when source parameters (log fundamental frequency and band aperiodicity) from the standard tied models are used in systems ETS and KLTS compared with KL. This indicates that by performing a KLD search for suitable rich context models we are already incorporating some prosodic information (close to that of tied models). The wide range of scores shown on the boxplot for systems V to KLTS shows that this task of scoring these systems is difficult, presumably because they are all of quite high naturalness and these variances are caused by different systems being better or worse at differing sentences presented.

The difference in naturalness between systems CT and CB indicate that the pre-selection implemented in [13] also steers the system towards selecting better models. This highlights the shortcomings of the reference tied model (system H) used in this system. Conversely, the proposed methods (conditions E, ETS, KL & KLTS), which perform a global search over the training corpus using bottleneck features which embed linguistic context information, allow these systems to select better rich-context models.

The stacked DNN bottleneck synthesis system presented in [18] outperforms all statistical parametric systems tested in this investigation, indicating that, while great improvements in quality have been made to HMM synthetic speech, more work is required. Finally, as already found in previous investigations [11, 12, 9], Figure 1 shows that vocoded speech is already significantly less natural than the original waveform.

8. Conclusions and future work

The proposed system provides clear improvements on both standard tied HMM models and the previously proposed rich context model system [13]. Although a state-of-the-art DNN setup is better than all HMM systems here, there is further room for improvement in the HMM systems, including the use of an embedding that is specifically designed for the task, not just derived from a DNN that was optimised for synthesis. For example, embeddings could be derived from a DNN that no longer needs to output speech parameters, but perhaps uses more perceptually relevant output features.

The HMM paradigm is much more transparent than the DNN paradigm. Rich-context model parameters can be related directly back to frames in the training data, allowing diagnosis and fault-finding to be carried out. This link to the training data also suggest simple and obvious ways to build hybrid systems (i.e., statistical model-guided concatenation). A final suggestion for future work would be to investigate speaker adaptation for a rich-context HMM-based system.

9. Acknowledgements

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10. References
